



**Natural Resources Conservation Service**  
**CONSERVATION PRACTICE STANDARD**  
**FOREST STAND IMPROVEMENT**

**Code 666**

**(Ac.)**

**DEFINITION**

The manipulation of species composition, stand structure, or stand density by cutting or killing selected trees or understory vegetation to achieve desired forest conditions or obtain ecosystem services.

**PURPOSE**

- Improve and sustain forest health and productivity
- Reduce damage from pests and moisture stress
- Initiate forest stand regeneration
- Reduce fire risk and hazard and facilitate prescribed burning
- Restore or maintain natural plant communities
- Improve wildlife and pollinator habitat
- Increase or maintain carbon storage

**CONDITIONS WHERE PRACTICE APPLIES**

All land where the quantity and quality of trees can be enhanced.

**CRITERIA**

**General Criteria Applicable to All Purposes**

Describe the extent or size and orientation of treatment area(s).

Identify and retain preferred tree and understory species to achieve all planned purposes and landowner objectives.

Use available guidelines for species and species groups to determine spacing, density, size-class distribution, number of trees, and amount of understory species to be retained. Schedule treatments to avoid overstocked conditions using approved silvicultural/stocking guides.

Describe the current and desired future condition of each stand that will be treated. Include the species, cover type, and size-class distribution. Stocking will be described in terms of crop trees per acre, basal area per acre, trees per acre, between-tree spacing, or by any other appropriate and professionally accepted density or stocking protocol. Descriptions of stocking and regeneration will be based on quantitative inventory methods and analysis of the collected data will include stocking tables.

Pesticides may be used in the installation of this practice. Pennsylvania NRCS does not make chemical pest suppression recommendations which include pesticide formulation, application rate, timing, and

method of application. If pesticides are to be used in the installation of this practice NRCS may provide current chemical pest suppression references. Refer to criteria in NRCS Conservation Practice Standard (CPS) Code 595, Integrated Pest Management, and comply with applicable State and local laws if an herbicide will be used.

Time tree felling to avoid buildup of insect or disease populations.

Implement forest stand improvement activities in ways that avoid or minimize soil erosion, compaction, rutting, and damage to remaining vegetation, and that maintain hydrologic conditions. Protect site resources from impacts due to forest stand improvement activities by selecting proper method, felling directions, and timing for tree felling as well as heavy equipment operations. For temporary access use NRCS CPS Code 655, Forest Trails and Landings, to protect soil and site resources from vehicle impacts.

Use NRCS CPS Code 560, Access Road, for more heavily used roads associated with forest stand improvement activities.

Where slash and debris will be generated, use NRCS CPS Code 384, Woody Residue Treatment, to appropriately treat slash and debris, as necessary, to assure that it will not present an unacceptable fire, safety, environmental, or pest hazard. Remaining woody material will be placed so that it does not interfere with the intended purpose or other management activities. Do not burn vegetative residues except where fire hazard or threats from diseases and insects are of concern or when other management objectives are best achieved through burning. When slash and other debris will be burned onsite use NRCS CPS Code 338, Prescribed Burning.

Comply with State best management practices for water quality.

#### **Additional Criteria to Improve and Sustain Forest Health and Productivity**

Treatments, including woody biomass removal, will be sustainable and will not compromise soil organic matter, the recruitment and retention of coarse woody debris, or wildlife habitat. If needed, use NRCS CPS 384, Woody Residue Treatment. If applicable, use biomass harvesting guidelines (The Forest Guild, 2010) and/or State guidance.

Manipulate stand characteristics to mitigate risk of insects and disease. Examples of stand manipulations include creating a diversity of tree species and a mosaic of age classes.

#### **Additional Criteria to Reduce Fire Risk and Hazard and Facilitate Prescribed Burning**

Reduce stocking rates and alter spatial arrangement of trees to minimize crown-to-crown spread of fire.

Use criteria for wildfire risk and damage reduction, including reduction of ladder fuels, in NRCS CPS Codes 383, Fuel Break; 384, Woody Residue Treatment; or 394, Firebreak, as appropriate.

#### **Additional Criteria to Improve Wildlife and Pollinator Habitat**

Manage for specific or a variety of cover types, species, size-classes, and stocking rates at the appropriate scale that meet desired wildlife habitat requirements.

Create, recruit, and maintain sufficient snags, nest, cavity, and den trees, and down woody material to meet requirements of desired species.

Use habitat creation and maintenance criteria in NRCS CPS Codes 647, Early Successional Habitat Development/Management; Code 643, Restoration and Management of Rare and Declining Habitats;

Code 645, Upland Wildlife Habitat Management; or Code 644, Wetland Wildlife Habitat Management, as appropriate, to manage wildlife-related activities.

### **Additional Criteria to Increase Carbon Storage**

Manage for tree species and stocking rates that have higher rates of growth and potential for carbon sequestration.

## **CONSIDERATIONS**

### **Considerations for Wildlife and Pollinator Habitat**

State Wildlife Habitat Guidelines, Wildlife Habitat Evaluation Procedure, and Forestland Assessment Scorecard are useful tools in planning forest stand Improvement.

Consider removing vines from crop trees but retaining vines with wildlife value (e.g., grape and poison ivy) on noncrop trees.

Increase quantity and quality of important mast (seeds, catkins, fruits, and nuts) sources for wildlife through crop tree management and other techniques.

Improve horizontal diversity or patchiness (of different age class units) across the forest for a variety of wildlife.

Improve or maintain vertical structure or vegetative layering in treated stands.

Favor declining wildlife species by providing appropriately sized treatment areas or blocks of habitat.

Time forest stand improvement activities to minimize disturbance of seasonal pollinator and wildlife activities, such as nesting, movement, etc.

When managing for Golden-winged Warbler follow Best Management Practices for Golden-winged Warbler Habitats in the Appalachian Region – Guide for Land Managers and Landowners (Golden-winged Warbler Working Group. 2013.)

When managing for Cerulean Warbler, follow: Cerulean Warbler Management Guidelines for Enhancing Breeding Habitat in Appalachian Hardwoods. (Wood et. al., 2013)

### **Considerations for Improving and Sustaining Forest Health and Productivity**

Consider crop tree management (Perkey et al. 1994) when making decisions about which trees to retain and which to cut, or kill.

If available use sanitation-salvage and risk-rating criteria to determine trees to remove during forest stand improvement operations (see Thinning and Sanitation in Donaldson and Seybold 1998).

Silvicultural objectives and harvest-regeneration strategies may change over time and may be limited by prior management.

Consider enlisting the assistance of a professional forester when seeking to rehabilitate degraded stands that have been repeatedly subjected to exploitative harvesting (high-grading). Often a complex site-specific treatment plan must be developed to overcome repeated exploitative timber harvest.

Successful regeneration of desirable species is usually dependent upon timely application of forest stand improvement and other practices, such as prescribed burning, site preparation, tree and shrub establishment, prescribed grazing, and access control.

The extent, timing, size of treatment area, or intensity of the practice application, should be adjusted to minimize cumulative effects (onsite and offsite), such as hydrologic and stream alteration, habitat fragmentation, nutrient cycling, biodiversity, and visual resources.

Consider retaining at least 1/4 to 1/3 of the slash, tops, and limbs after harvest to protect site productivity. When using whole-tree harvesting systems minimize the removal of needles or leaves by harvesting in the dormant season, retaining fine woody materials onsite, or leaving felled trees onsite to allow for needle or leaf drop.

Consider controlling invasive plants if they are encountered while conducting forest stand improvement. Use NRCS CPS Codes 314, Brush Management; or 315, Herbaceous Weed control, as appropriate. (Penn State Extension, 2016)

When available, provide the minimum criteria (diameter at breast height, log length, etc.) for commercial forest products (sawtimber, pulpwood, etc.) in order to know when to direct a client to a professional forester.

Consider advising landowners to secure a written contract with a service provider that specifically describes the extent of activity, duration of activity, liability and responsibilities of each party, and amount and timing of payments for services provided.

### **Considerations for Increasing Carbon Storage**

To increase carbon storage, consider shifting from even-aged to uneven-aged management to increase the retention of carbon onsite. Use regeneration methods that encourage advanced regeneration and retention of mature trees, such as shelterwood, to retain carbon onsite for longer periods. Consider retaining snags and downed woody debris for additional onsite carbon storage, and adopt techniques for maintaining soil quality, including organic carbon retention.

To grow trees that can store carbon in durable manufactured products, consider lengthening rotations to retain mature trees longer and grow to larger sizes; also consider using crop tree management techniques (Perkey et al. 1994) to concentrate growth on suitable long-lived species.

### **Considerations for Visual Quality**

When forest stand improvement is being used to improve visual quality consider leaving trees that are attractive in shape and structure or flower and are appropriate to the site, especially around structures, roads, and home sites.

## **PLANS AND SPECIFICATIONS**

Plans and specifications for applying this practice shall be prepared for each site and recorded using approved specification sheets, implementation requirements (job sheets), technical notes, and narrative statements in the conservation plan, or other acceptable documentation. Clearly state the goals and objectives of the forest stand improvement. Specific stand-stocking guidelines will clearly document both the pre- and post-treatment stand condition.

At a minimum, the following will be identified in Forest Management Plans where CPS 666 is recommended:

- Landowner objectives
- Type of treatment
- Treatment acreage / orientation/aspect
- Treatment location

- Existing conditions
  - Preferred tree and understory species
- Expected outcomes/Desired future condition
- Treatment specifications
  - Spacing, density, size class, number and amounts of trees and understory species to be retained
- Stocking guidelines shall contain stocking in terms of basal area, spacing or trees per acre by species and size class distribution.
- The harvest-regeneration strategy will be identified for all planned forest improvement harvesting:
  - Uneven-aged management systems (e.g., single-tree selection, group selection, coppice selection)
  - Even-aged management (e.g., clear-cut, seed-tree, shelterwood, coppice)
- Treatment dates

## OPERATION AND MAINTENANCE

Prepare an Operation and Maintenance plan for the site and review it with the operator. The plan will describe actions that must be taken to ensure that the practice is applied correctly during its design life. As a minimum, include periodic inspections for assessment of insects, disease, and other pests, storm damage, and damage by trespass. Use NRCS CPS Code 655, Forest Trails and Landings, to control erosion on forest roads, skid trails, landings, and adjacent areas by installing/maintaining vegetative and structural practices. Treatments needed for pests—see Additional Criteria to Improve and Sustain Forest Health and Productivity section in this document. Treatments needed for storm damage—use NRCS CPS Code 384, Woody Residue Treatment, to appropriately treat slash and debris. Treatments for damage by trespass: use NRCS CPS Code 472, Access Control, to prevent future damage.

## REFERENCES

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USDA-NRCS. National Biology Manual, National Forestry Handbook, and National Forestry Manual. Available on the NRCS eDirectives system: <http://directives.sc.egov.usda.gov/default.aspx>.